

Carroll theories from Lorentzian light-cone actions

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AdS/CFT meets Carrollian and Celestial Holography

ICMS Edinburgh, 8 -12 September 2025

Disclaimer!!!

What this talk **is not** about

- Holography: AdS/CFT, Carrollian or Celestial
- Gravity or String theory
- Scattering amplitudes

What this talk **is** about

Constructing Carrollian field theories from knowledge of Lorentzian ones

- Null reduction method [Duval, Gibbons, Horvathy, Zhang, 2014]
- Light-cone formulation

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Theme of the post-lunch session:

Hamiltonian techniques

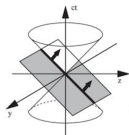
Introduction

Light-cone formulation

- Light-cone coordinates in $(d + 1)$ dimensions

$$x^+ = \frac{x^0 + x^{d+1}}{\sqrt{2}}, \quad x^- = \frac{x^0 - x^{d+1}}{\sqrt{2}}, \quad x^i \ (i = 1, 2, \dots, d-1)$$

- Formulating QFTs using light-cone time x^+ [Dirac '49]



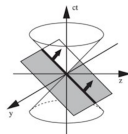
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Why light-cone?

- Easier to solve constraints and eliminate redundant d.o.f. **but with caution!**

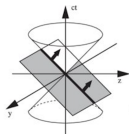
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E.g. Light-cone gauge: $A_- = 0 \rightarrow A_+ = f(A^i)$ and Maxwell Lagrangian $\mathcal{L}_{lc}[A^i]$

Subtleties: Non-locality in x^- direction, **zero modes** of A_+ , etc.

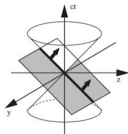
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- Non-relativistic or Galilean aspects [Weinberg '66; Susskind'68]

Light-cone Physics \longleftrightarrow non-relativistic features

\rightarrow **3D Galilei subgroup** within 4D LC Poincaré

$$P_{\mu} P^{\mu} = P_+ P_- - P^i P_i = 0$$

$$\Rightarrow \text{Hamiltonian } P_+ = \frac{P^i P_i}{2P_-}$$

Many successes: QCD computations, String quantization, Scattering amplitudes, Self-dual actions, Higher spin theories...

THIS TALK

Goal: Use [light-cone formulation](#) to derive Carrollian field theories

Deriving d -dim Carroll theories

- Ultrarelativistic limit ($c \rightarrow 0$) of d -dim Poincaré theories

[Levy-Leblond, Gomis, Hartong, Obers, Kleinschmidt, Duval, Gibbons, Horvathy, ...]

Carroll Hamiltonian actions: *necessary and sufficient conditions*

[Henneaux, Salgado-Rebolledo, 2021]

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Electric and Magnetic Carroll sectors

Electric: \mathcal{H}_E involve π or velocities $\dot{\phi}$

Magnetic: \mathcal{H}_M involve spatial gradients $\partial_i \phi$

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- Intrinsically on d -dim Carroll manifolds using geometric objects: $g_{\mu\nu}$, n^μ , etc.

[Bergshoeff, Ciambelli, Gomis, Hartong, Obers, Oling Vandoren, Petropoulos, ...]

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- Null reduction from $(d + 1)$ -dim Bargmann spacetimes [Duval, Gibbons, Horvathy, Zhang, 2014]

$$G = du \otimes dv + dv \otimes du + g_{ij} dx^i \otimes dx^j, \quad n = \partial_u$$

→ Galilean spacetime: Projection (KK reduction along n) [Julia-Nicolai '95]

→ Carrollian spacetime: Embedding (restricting to constant v surface)

Goal: Apply null reduction to LC field theories

and derive same Carrollian theories obtained from $c \rightarrow 0$ method

Outline

- Light-cone Minkowski is *Bargmannian*
- Carroll theories from relativistic light-cone actions
- Some concluding remarks

Poincaré in light-cone coordinates

Minkowski metric

$$dS^2 = \eta_{\mu\nu}^{lc} dx^\mu dx^\nu = -2dx^+ dx^- + dx^i dx_i$$

A **flat** Bargmann structure:

$$\begin{aligned} \mathfrak{n} &= \partial_+, & \mathfrak{n}^\mu G_{\mu\nu} &= 0, \\ \mathfrak{m} &= \partial_-, & \mathfrak{m}^\mu G_{\mu\nu} &= 0, \end{aligned}$$

Poincaré in light-cone coordinates

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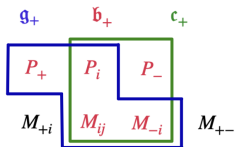
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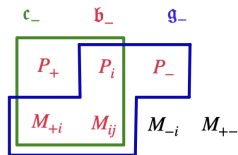
Kinematical subgroups of LC Poincaré [SM, arXiv: 2406.10353] [Bagchi, Nachiketh, Soni (2024)]

$$\mathfrak{b}_+ = \{P_+, P_-, P_i, M_{ij}, M_{-i}\}$$



x^+ Newtonian, x^- Carrollian

$$\mathfrak{b}_- = \{P_+, P_-, P_i, M_{ij}, M_{+i}\}$$



x^- Newtonian, x^+ Carrollian

- **Two copies** of d -dim Carroll, Bargmann, Galilei:

$$(\mathfrak{g}_+, \mathfrak{b}_+, \mathfrak{c}_+) \xleftrightarrow{x^+ \leftrightarrow x^-} (\mathfrak{g}_-, \mathfrak{b}_-, \mathfrak{c}_-)$$

- \mathfrak{g}_\pm : Galilei subgroup with light-cone Poincaré [Susskind '68]
- Carroll subgroups \mathfrak{c}_\pm : Stability group of light fronts at constant x^\pm

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This talk

Consider x^+ (Carrollian) time and constant x^- hypersurface

Relevant subgroups: $\mathfrak{b}_- = \{P_+, P_-, P_i, M_{+i}, M_{ij}\}$ and $\mathfrak{c}_- = \{P_+, P_i, M_{+i}, M_{ij}\}$

Lorentzian LC action in $(d + 1)$ dimensions

- Scalar field action:

$$S[\phi, \dot{\phi}] = -\frac{1}{2} \int d^{d+1}x \, \eta_{\mu\nu}^{\text{lc}} \partial^\mu \phi \partial^\nu \phi = \int dx^+ dx^- d^{d-1}x \left(\partial_+ \phi \partial_- \phi - \frac{1}{2} \partial_i \phi \partial^i \phi \right)$$

- Hamiltonian formulation:

Conjugate momenta: $\pi = \frac{\delta \mathcal{L}}{\delta(\partial_+ \phi)} = \partial_- \phi \quad \longrightarrow \quad \text{not related to velocities } \partial_+ \phi$

\Rightarrow Primary SCC : $\chi = \pi - \partial_- \phi$ typical in LC theories

Canonical Hamiltonian $\mathcal{H} = \pi \partial_+ \phi - \mathcal{L} = \frac{1}{2} \partial_i \phi \partial^i \phi \quad \rightarrow \quad \text{No } \partial_+ \text{ or } \pi \text{ terms in } \mathcal{H}$

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Light-cone Hamiltonian action for scalars

$$S[\phi, \pi, \lambda] = \int dx^+ dx^- d^{d-1}x \left\{ \pi \partial_+ \phi - \mathcal{H} - \lambda(\pi - \partial_- \phi) \right\}$$

$${}^{(d+1)}\Omega = \int dx^- d^{d-1}x \, d_V \pi \wedge d_V \phi, \quad \{\pi(x), \phi(y)\}_{PB} = \delta(x^- - y^-) \delta^{d-1}(\mathbf{x} - \mathbf{y})$$

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If π eliminated, we go back to $S[\phi, \dot{\phi}] \rightarrow$ **reduced phase space**

$$\{\phi(x), \phi(y)\}_{DB} \sim \theta(x^- - y^-) \delta^{d-1}(\mathbf{x} - \mathbf{y})$$

Null reduction to d -dim Carroll theories

- **Smearing function** to restrict to constant x^- surface [Chen, Liu, Zheng, 2023]

(Evaluate \mathcal{S} around $x^- = x_0^- + \varepsilon$, then take $\varepsilon \rightarrow 0$)

$$\delta_\varepsilon(x - x_0^-) = \begin{cases} \frac{1}{\varepsilon}, & x_0^- - \frac{\varepsilon}{2} < x^- < x_0^- + \frac{\varepsilon}{2} \\ 0, & \text{otherwise} \end{cases}$$

Assuming x^- behaviour of the fields (**Rescaling in $c \rightarrow 0$**)

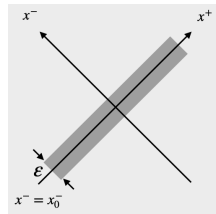
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- **Carroll action:**

$$\lim_{\varepsilon \rightarrow 0} {}^{(d+1)}\mathcal{S}_\varepsilon[\phi, \pi] = {}^{(d)}\mathcal{S}_{\text{Carr}}[\phi_m, \pi_m]$$

- **Only magnetic Carroll** scalars obtained

$${}^{(d)}\mathcal{S}_{\text{Carr}}[\phi_m, p_m] = \int dx^+ d^{d-1}x \left\{ p_m \partial_+ \phi_m - \frac{1}{2} \partial_i \phi_m \partial^i \phi_m \right\}$$



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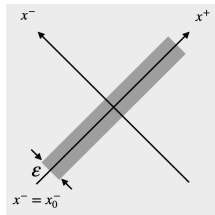
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- No way to get electric Carroll sector for *flat spacetimes* \rightarrow no π terms in \mathcal{H}

Similar story for Galilean theories from null reduction

[Julia, Nicolai '95] [Bergshoeff, Figueroa O'Farrill, Gomis]



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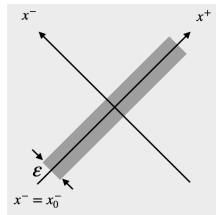
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How to fix this?



- Deform $\mathcal{L}^{lc}[\phi, \dot{\phi}]$ to **break Poincaré invariance** by hand

Pick a null vector: $n = \partial_+$ \Rightarrow Relevant subgroup $\mathfrak{b}_- = \{P_+, P_-, P_i, M_{+i}, M_{ij}\}$

$$\mathcal{L}^{Barg}[n^\mu, \phi, \dot{\phi}] = \frac{1}{2}\alpha(\partial_+\phi)^2 + \partial_+\phi\partial_-\phi - \frac{1}{2}\partial_i\phi\partial^i\phi$$

- Going to a **general Bargmann spacetime**

$$\eta_{\mu\nu}^{lc} = \begin{pmatrix} 0 & -1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & \delta_{ij} \end{pmatrix} \longrightarrow G_{\mu\nu} = \begin{pmatrix} 0 & -1 & 0 \\ -1 & -\alpha & 0 \\ 0 & 0 & \delta_{ij} \end{pmatrix}$$

Now, only n^μ lies in the kernel of G

- Change of coordinates to Bargmann LC coordinates $(x_\alpha^+, x_\alpha^-, x_\alpha^i)$

$$x_\alpha^+ = x^+ + \frac{\alpha}{2} x^-,$$

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Resolution [SM, Arxiv: 2507.03081]

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Already known in LC quantization literature!!!

“Near light-front coordinates” [Lenz-Thies (1991)]



- Go to the Hamiltonian formulation

$$\mathcal{L}^{Barg} = \frac{1}{2}\alpha(\partial_+\phi)^2 + \partial_+\phi\partial_-\phi - \frac{1}{2}\partial_i\phi\partial^i\phi$$

$$\pi = \alpha\partial_+\phi + \partial_-\phi, \quad \mathcal{H}_C^{Barg} = \frac{1}{2\alpha}(\pi - \partial_-\phi)^2 + \frac{1}{2}\partial_i\phi\partial^i\phi \quad \longrightarrow \quad \text{No SCCs}$$

$$\mathcal{S}^{Barg} = \int dx^+ dx^- d^{d-1}x \left\{ \pi \partial_+\phi - \mathcal{H}_C^{Barg} \right\}, \quad \Omega^{Barg} = \int dx^- d^{d-1}x d_V\pi \wedge d_V\phi$$

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- Two possibilities that preserve canonical structure

Magnetic Carroll sector

$$\phi \rightarrow \phi_m, \quad \pi = \partial_-\phi \rightarrow p_m, \quad \alpha \rightarrow \alpha/\varepsilon^2$$

$$S_M^{Carr} = \int dx^+ d^{d-1}x \left(p_m \partial_+\phi_m - \frac{1}{2}\partial_i\phi_m\partial^i\phi_m \right)$$

$$\text{EOM: } \partial_+\phi_m = 0, \quad \partial_+p_m = \partial^i\partial_i\phi_m$$

→ Momenta not related to velocities

Electric Carroll sector

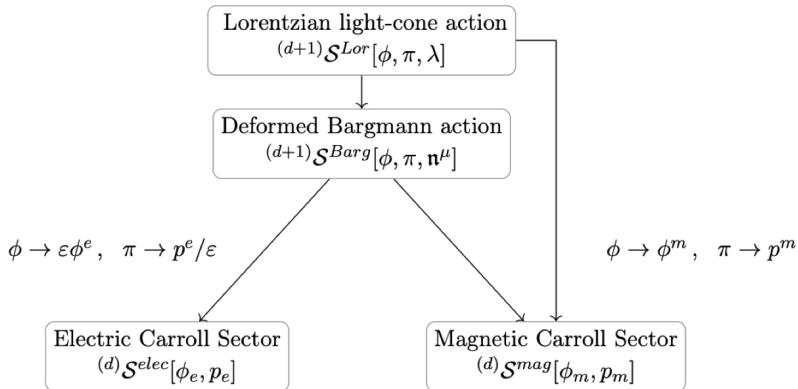
$$\phi \rightarrow \varepsilon\phi_e, \quad \pi \rightarrow p_e/\varepsilon, \quad \alpha \rightarrow \alpha/\varepsilon^2$$

$$S_E^{Carr} = \int dx^+ d^{d-1}x \left(p_m \partial_+\phi_m - \frac{1}{2\alpha}p_e^2 \right)$$

$$\text{EOM: } \alpha\partial_+\phi_m = p_e, \quad \partial_+p_m = 0$$

→ Momenta related to velocities

Deformation essential for electric Carroll sector



Proof of “Carroll-ness”

Carroll hypersurface deformation:

$$G[\xi^+, \xi^i] = \int d^\perp x (\xi^+ \mathcal{H}^C + \xi^i \mathcal{P}_i^C), \quad \xi^+ = b_i x^i + a^+, \quad \xi^i = \omega_j^i x^j + a^i$$

- Carroll commutation relations hold

$$[\mathcal{H}^C(x), \mathcal{H}^C(y)] = 0, \quad [\mathcal{H}^C(x), \mathcal{P}_i^C(y)] = \dots, \quad [\mathcal{P}_i^C(x), \mathcal{P}_j^C(y)] = \dots$$

(for both electric or magnetic cases)

- Carroll transformations

$$\delta_{\xi^+, \xi^i} \phi^C = \{\phi^C, G[\xi^+, \xi^i]\}, \quad \delta_{\xi^+, \xi^i} p^C = \{p^C, G[\xi^+, \xi^i]\}$$

render the Carrollian actions, \mathcal{S}_M and \mathcal{S}_E , invariant

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Carroll Hamiltonian actions: **necessary and sufficient conditions**

[Henneaux, Salgado-Rebolledo]

- Additionally, under Carroll boosts b^i ,

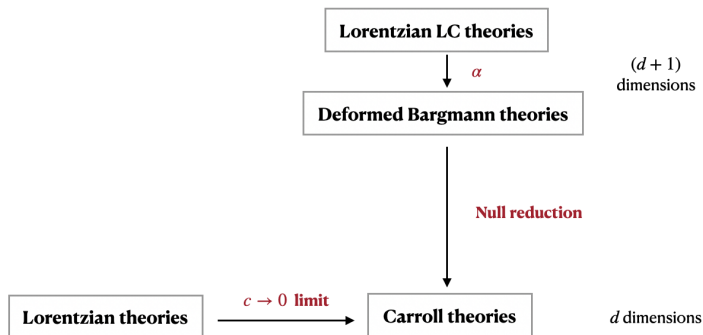
$$\text{magnetic: } \delta_b \phi_m = 0, \quad \delta_b p_m \neq 0.$$

$$\text{electric: } \delta_b \phi_e \neq 0, \quad \delta_b p_e = 0.$$

Same theories, different means

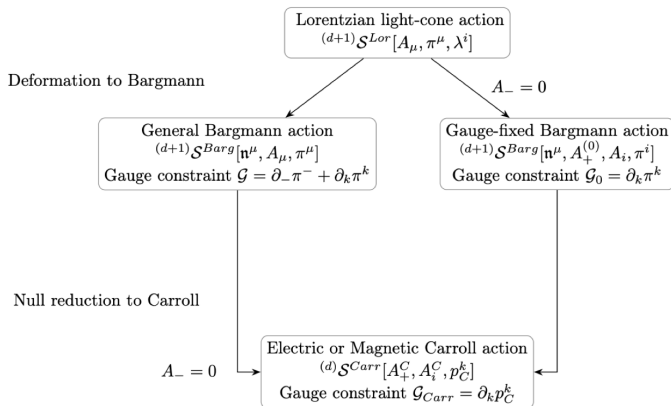
Starting point: Two very different Hamiltonian actions for Lorentzian FTs

→ Constraints, IVP, Phase space, etc.



- Equivalence of Carroll theories obtained from two different methods
- Works for EM, Yang-Mills, p -form fields, etc. [SM, Arxiv: 2507.03081]

Light-cone gauge-fixing



Key observations:

- Does not matter when LC gauge is implemented
- $A_+^{(0)}$: Zero mode as Lagrange multiplier for zero-mode gauge constraint \mathcal{G}_0 (LGTs)

General p -form gauge fields

- Lorentzian action for p -form field on $Mink^{d+1}$

$$A = \frac{1}{p!} A_{\mu_1 \dots \mu_p} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p}, \quad F = dA, \quad S^{lc} = \int_M F^2$$

- Deformed Bargmann action

$$S^{Barg} = \int_M F^2 + \alpha \int_M n^{\mu_1} n^{\nu_1} \dots \eta^{\mu_{p+1} \nu_{p+1}} F_{\mu_1 \dots \mu_{p+1}} F_{\nu_1 \dots \nu_{p+1}}$$

- Null reduction to Carroll:

Restrict to $x^- = x_-^0 + \varepsilon$, then take $\varepsilon \rightarrow 0$

Set 'minus' component to zero: $F_{-\mu_1 \dots \mu_p} = 0$ Light-cone gauge

$$S^{Carr} = \lim_{\varepsilon \rightarrow 0} S^{Barg} = \int_C F^2$$

Magnetic Carroll sector

$$F_{\mu_1 \dots \mu_{p+1}} \longrightarrow F_{i_1 \dots i_{p+1}}$$

Scalars: $F_\mu \longrightarrow F_i = \partial_i \phi$

EM: $F_{\mu\nu} \longrightarrow F_{ij} \sim \epsilon_{ijk} B_k$

Electric Carroll sector

$$F_{\mu_1 \dots \mu_{p+1}} \longrightarrow F_{+i_1 \dots i_p}$$

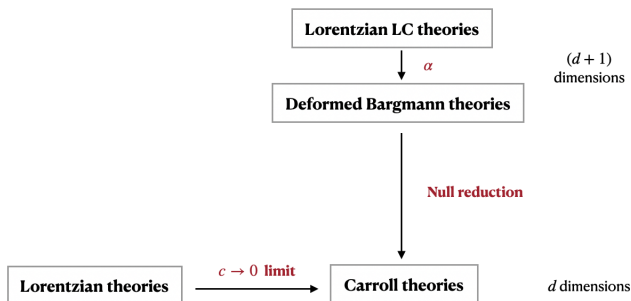
Scalars: $F_\mu \longrightarrow F_+ = \partial_+ \phi$

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Outline

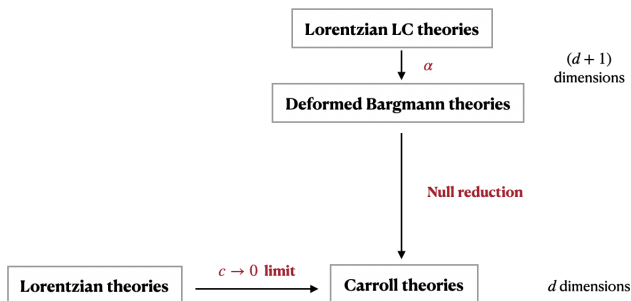
- Light-cone Minkowski is Bargmannian
- Carroll theories from relativistic light-cone actions
- Summary and Outlook

Summary and Outlook



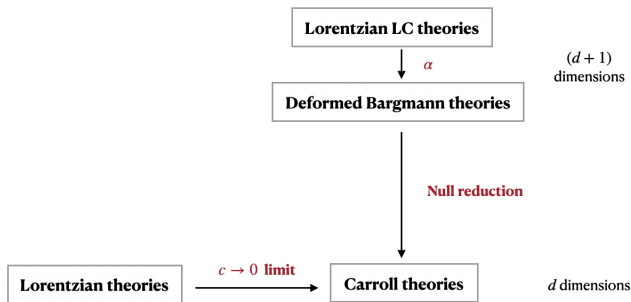
- To-do list: Fermions [WIP], Curved geometries, Gravity ...
(Double-null foliation [d'Inverno-Smallwood '80])

Summary and Outlook



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- For Lorentzian QFTs: hard and cumbersome but possible
Equal-time Quantization = Light-front Quantization
(time t or x^0)

Summary and Outlook



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Lessons for Quantum Carroll theories?

Do quantum properties derived from the two methods agree with each other?

Summary and Outlook

- Discrete LC quantization (DLCQ) and other techniques
 - Vacuum structure
 - Explicit and Spontaneous symmetry breaking
 - IR divergences, etc.

Examples: \mathbb{Z}_2 symmetry in ϕ^4 , $O(N)$ sigma model, chiral symmetry breaking, ...

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Summary, Conclusions and Outlook

LF field theory is a very promising approach toward calculating correlation functions along a light-like direction. Such correlation functions appear in the theoretical analysis of a variety of hard scattering processes, such as deep inelastic lepton-hadron scattering and asymptotic form factors. Probably the most intriguing and controversial property of LF Hamiltonians is